





# Care Bill Implementation Stocktake Spring 2014

# **Respondent Details**

Please provide the following information:			
Name of person submitting the data:	Wendy Fabbro		
Name of council:	Warwickshire County Council		
Contact email in case of queries:	Jenny Wood, Head of Social Care & Support		

# Programme Management and Governance

The Care and Support Bill is a significant stepping stone to wider reform of care and support, and underlines the importance for councils to promote wellbeing, prevention and independence. It also introduces a new national eligibility threshold and new rights for carers and children in transition. Councils will want to establish a robust programme management system to oversee the effective implementation of the Bill.

Q1: Does your council have a plan (or programme) in place, with clear milestones, to deliver the reforms of the Care Bill?				
Please tick one box				
Yes, this has been done				
No, but this is underway	✓			
No, work has not yet started				
Don't know				

Q2: Has your council identified a lead officer who will be accountable for the overall implementation of the Care Bill reforms?				
Please tick one box				
Yes, this has been done	Jenny Wood, Head of Social Care & Support			
No, but this is underway				
No, work has not yet started				
Don't know				

Q3: How will your council's plan be delivered?		
Please tick all that apply		
Supported by a dedicated team		
Supported as part of a 'business as		
usual' approach	✓	
Other (please specify)		
Don't know		



Q4: Are the risks associated with your council's plan included within your council's corporate risk management systems?				
Please tick one box				
Yes				
No, but they will be included before April 2015	✓			
No, and there are no current plans for them to be included				
Don't know				

Q5: In your council, are governance arrangements in place to oversee and report on the implementation of the Care Bill?				
Please tick one box				
Yes (please go to Q5a)	✓			
No (please go to Q6)				
Don't know (please go to Q6)				

Q5a: Please tell us which of the following, if any, are formally updated on your council's progress in implementing the Care Bill reforms?			
Please tick all that apply			
Chief executive	✓		
Council leader	✓		
Cabinet/executive board	✓		
Senior management board	✓		
Health and wellbeing board	✓		
Adult social care lead manager	✓		
Bespoke programme board	✓		

Q6: In your council, how aware are the following groups about the vision and key principles of the Care Bill?					
Please tick one box on each row	Very aware	Fairly aware	Not very aware	Not at all aware	Don't know
Chief executive	<b>✓</b>				
Council leader	✓				
Cabinet/executive board		✓			
Senior management board		✓			
Health and wellbeing board	✓				
Adult social care lead member		✓			
Council adult social care staff	✓				
Other council members		✓			
Other council staff		✓			



## B People

## **B1** Mapping self-funders

From April 2016, the Care Bill will introduce a cap on the costs an individual will need to pay towards meeting their eligible needs for care and support. This means that those who are currently fully-funding their own care, and therefore have no contact with the council, may start to get in touch so that the costs of their eligible care and support can begin to count towards the cap. Having a good understanding of the volume of self-funders will, therefore, underpin the planning and preparation for large parts of the Bill, as well as inform an understanding of the overall costs of implementation locally. It will also help councils to begin to assess those self-funders ahead of 1 April 2016.

The Bill also introduces a duty to provide information and advice from April 2015 to help those receiving care and their carers, as well as those planning for future care needs, to make informed choices with regard to care and support.

Q7: How much progress has your council made in identifying eligible self-funders in your area for 2015/16 (i.e. people who pay for their own care at home or in a residential or nursing home)?				
Please tick one box				
Completed (please go to Q7a)				
Advanced progress (please go to Q7c)				
Moderate progress (please go to Q7c)	$\checkmark$			
Early progress (please go to Q7c)				
Not yet started (please go to Q8)				
Don't know (please go to Q8)				

(	Q7a: Approximate	ely, how many	/ self-funders (	(both homecar	e and residential	) have
١	you identified in y	your area for 2	2015/2016?			

NB this is the same question as Q41 on the ADASS budget survey. Please write your answer to the nearest whole number, using numeric characters only (e.g. 1000 rather than 1,000 or 1k). Use a mid-point if only a range is known.

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Q7b: Of the total number of self-funders identified, how many of these do you estimate will present themselves to you for an assessment in 2015/16 to start their care account?

NB this is the same question as Q42 on the ADASS budget survey. Please write your answer to the nearest whole number, using numeric characters only (e.g. 1000 rather than 1,000 or 1k). Use a mid-point if only a range is known.

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J	v	/0



Q7c: Please brid	efly explain the m	ethod, or ca	culation, fo	r how you a	re identifying
self-funders in	your area:				

Commissioning a specific piece of research to generate data which can be cross referenced with existing Local Authority and local census data

Q8: When does your council expect to have a working estimate of the number of self-funders in its area? (Please skip if you answered 'completed' in Q7)				
Please tick one box				
By September 2014	✓			
By January 2015				
By April 2015				
Later than April 2015				
Don't know				

## B2 Meeting duties for carers' assessments

Under the Care Bill, carers will be recognised in law in the same way as those for whom they care, regardless of whether that person has eligible care needs, or not. From April 2015, councils will have a new duty to carry out assessments for all carers. Carers will no longer have to be providing substantial care on a regular basis to be eligible for an assessment and, as such, more carers will qualify for an assessment and for support than at present.

Q9: How much progress would you say your council has made in estimating the number of requests for carers' assessments?				
Please tick one box				
Completed (please go to Q9a)				
Advanced progress (please go to Q9b)				
Moderate progress (please go to Q9b)	✓			
Early progress (please go to Q9b)				
Not yet started (please go to Q9c)				
Don't know (please go to Q9c)				

Q9a Approximately, how many requests for carers' assessments do you estimate your council will receive in 2015/16?
NB this is the same question as Q44 on the ADASS budget survey. Please write your answer to the nearest whole number, using numeric characters only (e.g. 1000 rather than 1,000 or 1k). Use a mid-point if only a range is known.
3000



Q9b Please briefly explain the metho-	d, or calculation,	you are using	to estimate the
number of requests for carers' asses	sments:		

We already have generic Adult carer services and a specialist mental health carer service and intend to use data generated by these services and from other local sources such as the observatory, census and Public Health to inform specific a specific piece of research.

Q9c: When does your council expect to have a working estimate of the number of likely requests for carers' assessments in your area? (Please skip if you answered 'completed' in Q9)				
Please tick one box				
By September 2014	✓			
By January 2015				
By April 2015				
Later than April 2015				
Don't know				

Q10: Thinking about the changes to duties on councils in relation to carers, how likely is it, or not, that the following will have been completed in your council in advance of the Care Bill's introduction in April 2015?

Please tick one box on each row	Very likely	Fairly likely	Not very likely	Not at all likely	Don't know
The expansion of assessment capability					
to cope with increased demand,					
particularly in relation to carers		✓			
An assessment process that is focussed					
on outcomes and wellbeing	✓				
A plan to communicate and engage with					
carers	✓				

### B3 Preventing needs for care and support

The Care Bill makes clear in law that, from April 2015, councils must provide or arrange the provision of preventative services which help prevent or delay the development of care and support needs, or help to reduce existing care and support needs.

Q11: What progress, if any, has your council made in identifying <u>people</u> who may have care and support needs which are not currently being met (in order to make sure they receive preventative services)?				
Please tick one box				
Completed				
Advanced progress				
Moderate progress				
Early progress	✓			
Not yet started				
Don't know				



Q12: What progress, if any, has your council made in identifying <u>carers</u> who may have care and support needs which are not currently being met (in order to make sure they receive preventative services)?				
Please tick one box				
Completed				
Advanced progress				
Moderate progress				
Early progress	✓			
Not yet started				
Don't know				

## B4 Provision of information, advice and advocacy

From April 2015, councils will be required to ensure that there is comprehensive information and advice about care and support services in their area and guarantee the provision of independent advocates to support people to be involved in key processes, such as assessment and care planning, where the person would otherwise be unable to be involved.

Q13: Has your council conducted a review of existing information and advice arrangements in your area to identify any additional requirements arising from the Care Bill?				
Please tick one box				
Yes, this has been done				
No, but this is underway				
No, work has not yet started ✓				
Don't know				

Q14: Thinking about the changes to advice, information and advocacy, how likely is it, or not, that the following will have been completed in your council in advance of the Care Bill's introduction in April 2015?

Please tick one box on each row	Very likely	Fairly likely	Not very likely	Not at all likely	Don't know
A comprehensive universal information					
and advice service that includes the wider aspects of care and support		✓			
Signposting to independent financial					
advice to help people make or plan for care and support services		<b>√</b>			
Increased local advocacy services		<b>✓</b>			

C Money

# C1 Cost modelling



Q15: Has your authority attempted to estimate any of the likely costs of implementing the Care Bill in 2015/16 (using, for example, the ready reckoner tool and the ADASS budget survey (Q45/Q46))?				
Please tick one box				
Yes, this has been done (please go to Q15a)				
No, but this is underway (please go to Q15a)	✓			
No, work has not yet started (please go to Q15d)				
Don't know (please go to Q15d)				

# Q15a: What is the estimated likely <u>total</u> cost of implementing the Care Bill in 2015/16?

Please write your answer to the nearest whole number, using numeric characters only (e.g. 1000 rather than 1,000 or 1k). Use a mid-point if only a range is known.

#### Not yet known

# Q15b: If possible, please outline the breakdown of that estimated cost (of implementing the Care Bill in 2015/16):

NB this is the same question as Q46 in the ADASS budget survey. Please write your answer to the nearest whole number, using numeric characters only (e.g. 1000 rather than 1,000 or 1k). Use a mid-point if only a range is known.

Care Bill Reform	Estimated cost
Early assessment	
IT	
Workforce capacity	
Deferred payments	
National campaign	
Personalisation	
Carers' assessment	
New carers' duty	
National portal	
Advice and support	
Quality profiles	
Adult safeguarding boards	
Set eligibility as substantial	
Continuity of care	
Prison social work	
Veterans	
Training staff in law reform	
Savings from law reform	
Others (please specify)	



Q15c: Please br	iefly provide any fur	ther detail	on the methods,	or calculations,
used to inform	your answers to the	previous o	question:	

The head of finance is an active member of the ADASS finance leads regional network and is collaborating with a number of partner local authorities to develop a modelling tool. He is also employing tools and resources from the DOH, LGA and ADASS amongst others

Q15d: When does your council anticipate an estimate of the total likely costs of implementing the Care Bill in 2015/16 will be known? (Please skip if you answered 'completed' in Q15)		
Please tick one box		
By September 2014	✓	
By January 2015		
By April 2015		
Later than April 2015		
Don't know		



### C2 Deferred payments agreements (DPA)

From April 2015, under the Care Bill, councils will be required to offer a deferred payment agreement to those people at risk of being forced to sell their home to pay for their care. Regulations will set out the eligibility criteria people will have to meet. Councils will have wide-ranging discretion to offer deferred payment agreements to anybody who needs residential care, regardless of whether they meet the eligibility criteria, or not. This is an extension of the discretionary powers under the Health and Social Care Act 2001.

Q16 Does your council currently have in place a system for Deferred Payment Agreements (DPAs)?		
Please tick one box		
Yes	✓	
No		
Don't know		

Q17: How much progress has your counci requests for deferred payments in your are	
Please tick one box	
Complete (please go to Q17a)	
Advanced progress (please go to Q17b)	
Moderate progress (please go to Q17b)	
Early progress (please go to Q17b)	✓
Not yet started (please go to Q17c)	
Don't know (please go to Q17c)	

Q17a: Approximately, how many requests for deferred payments have b	een
estimated in your area?	

Please write your answer to the nearest whole number, using numeric characters only (e.g. 1000 rather than 1,000 or 1k). Use a mid-point if only a range is known.

Q17b: Please briefly provide any further detail on the methods, or calculations, used to inform your answers to the previous question:

The Local Authority will use data from its current scheme and commission a specific piece of research

Q17c: When does your council expect to have a working estimate of the number of requests for deferred payments? (Please skip if you answered 'completed' in Q17)		
Please tick one box		
By September 2014		
By January 2015	✓	
By April 2015		



Later than April 2015			
Don't know			
Q18: Has your council conducted a review of existing arrangements (around			
workforce capacity, IT and finance systems) to test its ability to cope with the new demand for deferred payments agreements (DPA)?			
Please tick one box			
Yes, this has been done	This work has started		
No, but this is underway			
No, work has not yet started			
Don't know			

Q19: Overall, how likely is it, or not, that your council will have completed the following on funding in advance of the introduction of the Care Bill in April 2015?						
Please tick one box on each row	Very likely	Fairly likely	Not very likely	Not at all likely	Don't know	
Sound financial processes to support increased number of deferred payments	·	<b>√</b>				
A strategy to communicate with service users/general public		✓				
Sufficient staff and IT capacity		✓				
Robust financial processes		✓				

# D Systems

## D1 IT and financial systems

Every council with a responsibility for social care will have IT systems in place to manage their case records. The Care Bill will necessitate a reconfiguration of these systems. Having in place the right information systems to support the reforms is critical to successful implementation and, as there is no intention for central Government to develop centralised national IT systems for case records, it will be each council's responsibility to work with their suppliers to ensure their systems will meet the requirements of the care and support reforms.

Q20: Thinking about your council's current financial and IT systems, have these been evaluated to test their ability to support the implementation of the Care Bill in 2015?		
Please tick one box		
Yes, this has been done	✓	
No, but this is underway		
No, work has not yet started		
Don't know		

Q21: Has your council determined what financial and IT systems need to put in place to establish changes in 2015?		
Please tick one box		
Yes, this has been done	✓	
No, but this is underway		
No, work has not yet started		
Don't know		



#### D2 Workforce

The introduction of the Care Bill will have a number of implications for the workforce in order to meet new practice and legal expectations, from April 2015. Councils will need to ensure the whole social care workforce – including those not directly employed by the council – has the capacity, skills and knowledge to implement the Care Bill effectively.

Q22: Has your council completed any of the following to support the necessary changes to workforce capacity, practice and learning?					
Please tick one box on each row	Yes, this has been done	No, but this is underway	No, work has not started	Don't know	
Identified which part of the social care workforce will be responsible for key changes within the Care Bill			<b>√</b>		
Reviewed the current skills and capacity of the current workforce and mapped any gaps			<b>√</b>		
Written a Care Bill workforce development plan (including recruitment, learning, development and training)			<b>√</b>		

Q23: Overall, how likely is it, or not, that your council will have implemented the following necessary changes to systems and workforce resulting from the introduction of the Care Bill by April 2015?					
Please tick one box	Very likely	Fairly likely	Not very likely	Not at all likely	Don't know
Changes to, or introduction of new, IT systems		✓			
Scoping need for changes to IT systems in 2016	<b>√</b>				
Necessary changes to the workforce		✓			

# E Communications Strategy and Planning

#### E1 Communications

Communications plays a crucial role in supporting the implementation of the Care Bill, in ensuring that service users, carers, the general public and the council workforce understand what is changing, why and what action needs to be taken and by when. It is crucial that health and care providers, local politicians and NHS partners are fully engaged and understand the implications of the Care Bill. Councils will, therefore, want to ensure they have a robust strategy and plan in place to meet these communication requirements.



Q24: Has your council written an external communications strategy to support the implementation of the Care Bill?			
Please tick one box			
Yes, this has been done			
No, but this will be done before April 2015	✓		
No, and there are no current plans for this			
Don't know			

Q25: How much progress has your council made in engaging with or involving the following groups about the changes the Care Bill reforms will bring to them?						
Please tick one		Advanced	Moderate	Early	Not yet	Don't
box on each row	Completed	progress	progress	progress	started	know
Service						
users(current)				✓		
Service users						
(future)				✓		
Carers				✓		
General public				✓		
Voluntary sector				✓		
Health and Care						
service						
providers				✓		
NHS partners				✓		
Local MP				✓		
Local councillors			✓			
District councils,						
in two tier areas						
(if not						
applicable,						
leave blank)			✓			
Others (please						
specify)						

# F Commissioning

## F1 Commissioning local services

Councils have a critical role in developing the quality and range of services that local people want and need. From April 2015, there will be a duty on councils to integrate care and support with health and housing where this delivers better care and promotes wellbeing. Integrated commissioning is essential not only for improving user outcomes but also to ensure quality and value for money. Councils will want to work closely through their local Health and Wellbeing Boards to ensure plans across the system are aligned, including through the Better Care Fund.



Q26: Do you have a commissioning strategy (aligned with the Better Care Fund and Joint Health and Wellbeing Strategy) which delivers the duties in the Bill and ensures effective provision of care and support for the future?

Please tick one box	
	✓
Yes	Commissioning Intentions Better Care Fund
No	
Don't know	

# Q27: How much of your Better Care Fund has been locally agreed to be spent in 2015/16 on the following?

NB this is the same question as Q37 in the ADASS budget survey. Please write your answer to the nearest whole numbers, using numeric characters only (e.g. 1000 rather than 1,000 or 1k). Use a mid-point if only a range is known.

Area	Amount
Protecting adult social care services	£8,739,000
Implementing the Care Bill reforms	£150,000
Investing in additional adult social care services that benefit health services	£1,341,000

### F2 Market position statement

From April 2015, councils will be required to support a market which delivers a wide range of sustainable high-quality care and support services that will be available to their communities. By setting out future and current demand trends, and explaining the desired outcomes of the council, market position statements play an important role in enabling and maintaining high-quality, diverse care markets.

Q28: How much progress has your council made in developing a market position statement, or equivalent, which delivers the key outcomes to be met?			
Please tick one box			
Completed	✓		
Advanced progress			
Moderate progress			
Early progress			
Not yet started			
Don't know			

# G Support

## G1 Self-assessment on current position

Q29: Overall, how much progress has your council made in preparing for the implementation of the Care Bill?		
Please tick one box		
Completed		
Advanced progress		
Moderate progress		
Early progress	✓	
Not yet started		
Don't know		



Q30: At this time, how confident is your council, or not, that it will be able to deliver the Care Bill reforms?			
Please tick one box			
Very confident			
Fairly confident	✓		
Not very confident			
Not at all confident			
Don't know			

Q31: For your council, what are the main risks a Bill reforms?	ssociated with delivering the Care
Please tick all that apply	
	✓ ✓ ✓  Uncertainty pending publication of regulations and guidance  Uncertainty regarding BCF plans and whether the funding will materialise  Additional Government funding for Care Act will it be enough? How/when will it be distributed?  Predicting self-funder and carer behaviour/uptake and planning accordingly  Recruiting and training sufficient workforce (already a very challenging environment but soon all LA's could be looking for similar staff)

Q31a: Of the risks you identified, which is the greatest?			
Please tick one box			
Uncertainty about additional demand from self- funders			
Uncertainty about additional demand from carers			
Managing additional assessments			
Impact on local provider market			
New national eligibility threshold			
Total implementation costs	✓		
Uncertainty over key national policy decisions	✓		
Other (please specify)			



## G2 Support

The joint Care and Support Programme Office is working to understand councils' requirements for implementation support and will commission national products where appropriate in response to need. Information you provide will be used to inform national and regional discussions about support needs and facilitate the sharing of good practice.

Q32: Please outline, in brief, any specific additional support needs within your council in implementing the Care Bill:

- Effective modelling tools
- National e-portal to gather and disseminate other local authorities work; policies, guidance, best practice, modelling tools, outputs from audits/modelling etc.
- Support/Development opportunities for Implementation leads
- Clarity from DOH regarding extra funding and the BCF
- Don't ask for too many stocktakes and implementation audits
- A meaningful response to the Regulation and Guidance consultation

Q33: If you are willing, please share details of any tools, resources or good practice examples that might benefit other local areas in implementing the Care Bill:

You only need write a brief description, and we may follow up with you for further information.

Q34: If your council is collaborating with other councils in preparing to deliver the Care Bill, please give brief details:

You only need write a brief description, and we may follow up with you for further information.

Membership of the ADASS regional leads networks is encouraging and supporting collaboration with LA's across the region.

National events are generating broader networking opportunities

H Feedback and Support



Q35: Please use the box below to tell us any further relevant information, and to feedback on your experience of completing this survey. We will use this information to inform the next iteration of the stocktakes in September 2014 and January 2015:

The survey was a useful exercise that encouraged discussion and collaboration across the people group.

The survey will provide a useful tool for monitoring progress against key delivery tasks and outputs

# Sign Off

## You have now entered all of your data

If you wish to have your DASS sign-off this form before it is submitted, please close this screen, return to our original email containing your unique stocktake link and email it to your DASS. Your DASS can then enter the stocktake using the unique link, review the information, and tick the 'signed off by DASS' option at the end of the survey.

If you wish to submit your data without obtaining DASS sign off, please tick the 'ready to submit' option below. Pressing the button below will submit your data.

### Thank you for taking part in this stocktake

We will use the data to identify ways in which we can support councils over the coming year

We will contact you again later this year about Round 2
If you have any questions, please contact Tom Shakespeare on <a href="mailto:tom.shakespeare@local.gov.uk">tom.shakespeare@local.gov.uk</a> or 020 7664 3218



# Key for answers containing asterisk:

- \* Very early progress confined to initial discussion and planning between interested parties in People Group.
- \*\* At best initial discussion between interested parties in People Group but could elevate to 'Early progress' if preferred.
- \*\*\* Question 27: figure quoted is the breakdown of the £10,230,000 2014/15 transfer

